

# HyperProv: Decentralized Resilient Data Provenance at the Edge with Blockchains

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## Abstract

Data provenance and lineage are critical for ensuring integrity and reproducibility of information in research and application. This is particularly challenging for distributed scenarios, where data may be originating from decentralized sources without any central control by a single trusted entity. We present HyperProv, a general framework for data provenance based on the permissioned blockchain Hyperledger Fabric (HLF), and to the best of our knowledge, the first system that is ported to ARM based devices such as Raspberry Pi (RPi). HyperProv tracks the metadata, operation history and data lineage through a set of built-in queries using smart contracts, enabling lightweight retrieval of provenance data. HyperProv provides convenient integration through a NodeJS client library, and also includes off-chain storage through the SSH file system. We evaluate HyperProv’s performance, throughput, resource consumption, and energy efficiency on x86-64 machines, as well as on RPi devices for IoT use cases at the edge.

**CCS Concepts**. • Computer systems organization → Distributed architectures.

**Keywords**. Blockchain, Data Provenance, Edge Computing

## 1 Introduction

Over the last decades, the size of data utilized in research has increased significantly, highlighting the importance of data provenance systems [7, 11, 15] in order to ensure the quality and integrity of the information, and to counteract accidental or malicious data manipulation and corruption. We present HyperProv, a permissioned blockchain based provenance system using Hyperledger Fabric (HLF) [1] to provide guarantees for provenance and lineage of data by storing the provenance metadata in a tamper-proof ledger. We consider the use case of Internet of Things (IoT) data at the edge to demonstrate utility and applicability of HyperProv. We evaluate in detail [17? ] throughput, resource consumption and energy efficiency of HyperProv both on x86-64 commodity hardware and Raspberry Pi (RPi) ARM64 devices, in order to compare its performance with other recent blockchain-based systems [9, 13].

To the best of our knowledge, we are the first to run a provenance system featuring HLF’s first long term release, and are also the first one to run provenance system

based on HLF on ARM devices. Our contributions in porting HLF for ARM devices have already generated significant uptake and recognition in the community, with more than 500 downloads in the first 2-3 months<sup>1,2</sup>. We believe HyperProv demonstrates the feasibility of using edge devices such as RPi for data provenance through blockchains. We hope that by building and releasing Docker images for ARM, we can pave way for other innovative solutions employing HLF for edge computing. HyperProv’s NodeJS client library hides away the complexity of working with HLF, allowing for easily plugging in HyperProv with other domain-specific data provenance systems.

## 2 Related Work

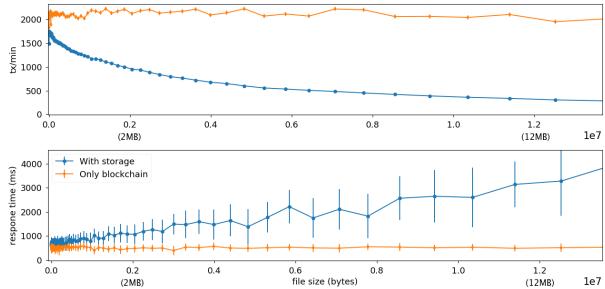
Many projects have tackled domain-specific as well as general purpose data provenance, such as PAAS [11], Chimera [4], MyGrid [18], CMCS [12], ESSW [5], and Trio [2], among others [7, 15]. We limit our focus here on recent data provenance systems that employ blockchains [3, 9, 13], and HyperProv is distinguished from them as it uses permissioned blockchains like HLF that have much less resource requirements compared to public blockchains [16], limits recording only provenance metadata in the blockchain while moving actual data to off-chain storage, and demonstrates practical applications of using devices such as RPi at the edge without relying on constant connectivity to the cloud. With respect to HyperProv’s implementation, other recent works not focused on provenance but related to HLF and RPi are also of interest to us. Vegvisir [8] handles network partitions, which is critical to successful deployment in IoT and edge scenarios, FastFabric [6] improves on the throughput of HLF, while [14] demonstrates feasibility and utility of HLF for edge scenarios like wireless mesh networks. We leave the detailed comparison to the technical report [17? ].

## 3 HyperProv Data Provenance System

HyperProv follows the features from the Open Provenance Model [10], while still supporting extensions to support

<sup>1</sup><https://github.com/Tunstad/Hyperprov>

<sup>2</sup><https://hub.docker.com/u/ptunstad>

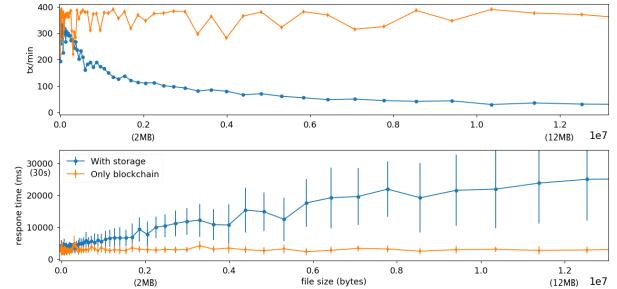


**Figure 1.** Throughput and response times for desktop

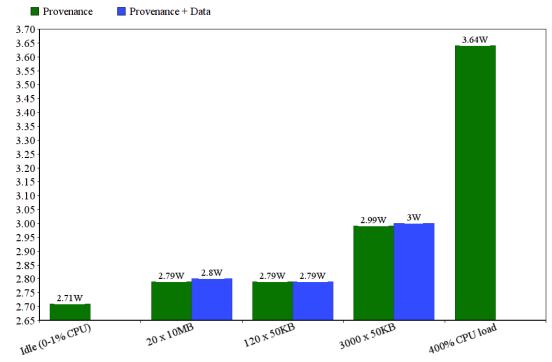
domain-specific provenance metadadata. To this end, HyperProv stores the checksum, editors, operations, data ownership, and data pointers in the blockchain, while delegating the storage of actual data to a separate *off-chain* storage system. HyperProv consists of HLF-framework running in Docker containers and the NodeJS based client library, and is supplemented by an off-chain storage system built using SSHFS. The goal of the system is to enable seamless storage of provenance metadata in a tamper-proof blockchain framework when accessing and storing data in a *pluggable* storage service. Peer nodes are responsible for hosting the chaincode, which is the executable logic that can append or query data stored in the ledger. The chaincode consists of a few functions that are mirrored and available across all peer nodes. The core data currently stored in the blockchain is the checksum of every data item, the data location, a certificate pertaining to who stored the data, a list of other data items that were used to create an item, and a custom field for any additional metadata. The HyperProv's client library enables the use of the HyperProv system for a wide range of functionality with only a few limited operators, such as Init, Post,Get, StoreData, GetData, etc., see [17] for the details.

## 4 Evaluation

We perform extensive evaluation [17] using two different setups of the same network. The first consists of desktop nodes and the other consists of RPi devices. The desktop setup has 4 machines: 2 Intel Xeon E5-1603 CPU 2.80GHz, 1 Intel Core i7-4700MQ CPU 2.40GHz, and 1 Intel Corei3-2310M CPU 2.10GHz. All have Ubuntu 16.04 OS and are equipped with SSD storage. Each of the four nodes run peer docker containers, whereas one Xeon machine runs the orderer, and all use the official HLF docker images. The second setup consists of 4 ARM-based RPi 3B+ 1.4GHz Cortex-A53 devices, interconnected on the same network switch. The RPi runs the unofficial RaspberryPi 3 Debian Buster 64-bit OS, since the newer HLF versions require 64-bit support. Due to the lack of other supported docker images, we have compiled our own images for the ARM64 architecture for RPi. Off-chain storage component based on SSH file system always runs on



**Figure 2.** Throughput and response times for RPi



**Figure 3.** Energy consumption on RPi, 10-minute intervals

a separate node. The measurements are performed using our custom benchmarking program. The energy consumption is measured using an ODROID V3 power meter placed between the device and the power source.

Fig. 1 shows how increasing the size of data items impacts both throughput and response times, when off-chain storage is involved for desktop machines which incurs the overhead of data transfer and checksum calculation. Fig. 2 shows similar trend for throughput and response times for RPi though greater variation, however absolute performance for RPi is lower than desktop machines as expected owing to the limited hardware capacity. Measurements of the energy consumption of RPi devices running both peer and client processes for 10 minutes (as shown in Fig. 3) highlight that running HyperProv without any active transactions barely consumes any power (2.71W) compared to an idle RPi running without HLF, while at the peak load level consumes only 10.7% more as compared to idle, and maximum up to 3.64W.

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